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UTILITY PATENT APPLICATION TRANSMITTAL

| Attorney Docket No. | | | 5236-000476/US | | | | |
|---------------------|---|------|----------------|--|--|--|--|
| First Inventor Hall | | Hail | et al. | | | | |
| Title | Magnetically Navigable Telescoping Catheter and Method of Navigating Telescoping Catheter | | | | | | |

| (Only for I | new nonprovision | al applications un | nder 37 C.F.R. | 1.53(b)) | Expres | ss Mail La | abel No. | EV 2 | 98496785 U | S | |
|--|---|---|------------------------------|--------------|-------------|---|-------------------------|----------|------------------------|------------------------------|-----|
| APPLICATION ELEMENTS ASSISTANT CO | | | | | | | ommissioner for Patents | P | | | |
| See MPEP chapter 600 concerning utility patent application contents. | | | | | | ADDRESS TO: Box Patent Application Washington, DC 20231 | | | | | |
| 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages 19] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, | | | | | 8 | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies | | | | | |
| | or a computer pr Background of the | ogram listing app | | | | ACCOMPANYING APPLICATIONS PARTS | | | | | |
| | Brief Summary of Brief Description Detailed Descrip Claim(s) Abstract of the D | of the Invention of the Drawings tion | (if filed) | | 10 | 9. Assignment Papers (cover sheet & document(s)) 10. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney | | | | | ;)) |
| | | | | | | | _ | | | ment (if applicable) | |
| | Drawing(s) (<i>35</i> (Declaration | • | [Total Sheet [Total Pages | |] 12] | | Informati Statemer | | sclosure S)/PTO-144 | ☐ Copies of I Gitations | DS |
| | Newly execut | | | | | | | | nendment | | |
| b. 🛛 | Copy from a p | | | | - 1 | 14. ☑ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | | |
| _ ا | _ ' | ation/divisional | | completed, | 15 | 15. Certified Copy of Priority Document(s) | | | | | |
| i. L | DELETION Signed stateme | OF INVENTO ent attached dele | | ١ | | (if foreign priority is claimed) | | | | | |
| | named in the p | rior application, s | | , | 16 | 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 | | | | | |
| 1.63(d)(2) and 1.33(b). | | | | | | or its equivalent. | | | | | |
| | 6. Application Data Sheet. See 37 CFR 1.76 17. Other: | | | | | | | | | | |
| | | | | ox, and sup | ply the | requisite | informati | on bel | low and in a | preliminary amendmen | it, |
| | <i>plication Data Si</i> inuation | neet under 37 Ci | | nuation-in-p | art (CIP |) | of n | orior ar | onlication No | : <u>09</u> / <u>999,185</u> | |
| Prior ap | plication informat | ion: Exan | niner <u>D. Rudd</u> y | <u>.</u> | | • | , | Group | / Art Unit: <u>37</u> | <u>'39</u> | |
| under Box ! | For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | plied ence. | | |
| | | | 17. C | ORRESPO | ONDEN | ICE AD | DRESS | | | | |
| ☐ Custor | ☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☑ Correspondence address below | | | | | | | elow . | | | |
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| Name | Harness, Dickey & Pierce, P.L.C. | | | | | | | | | | |
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| City | St. Louis | | | State | Mis | souri | | Zip | Code | 63105 | |
| Country | U.S. | | Telept | hone | 314 | -726-75 | 00 | | Fax | 314-726-7501 | |
| Name (Pi | int/Type) | Bryan K. Wh | eelock | 0 - | Regi | Registration No. (Attorney/Agent) 31,441 | | | | | |
| Signature | 1 | 18 | lowe | luli | | Date February 9, 2004 | | | | | |

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| 3) | 558 |
|----|-----|

| Complete if Known | | | | | |
|----------------------|-------------------|--|--|--|--|
| Application Number | 09/999,185 | | | | |
| Filing Date | November 30, 2001 | | | | |
| First Named Inventor | Sell | | | | |
| Examiner Name | David M. Ruddy | | | | |
| Group / Art Unit | 3739 | | | | |
| Attorney Docket No. | 5236-000476 | | | | |

| MET | FEE CALCULATION (continued) | | | | | | | |
|-------------------------------------|---|-------------|---------------------|-------------|---|----------|--|---------------|
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| | Large | Entity | Small E | Entity | | | | |
| Deposit Accoun | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | | |
| Deposit Account 08-0750 | | | | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| Number | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | |
| Deposit | | 1 | 1053 | 130 | 1053 | 130 | Non-English specification | |
| Account | Harness, Dickey & Pierce, P.L.C. | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| Name | er is authorized to: (check all that apply) | J | 1804 | 920* | 1804 | 920° | Requesting publication of SIR prior to Examiner action | |
| ☐ Charge fee(s) in ☐ Charge any add | 1805 | 1,840° | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | | |
| | ndicated below, except for the filing fee | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| to the above-identi | fied deposit account. FEE CALCULATION | | 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| 1. BASIC FILI | | | 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| Large Entity S | Small Entity | | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| | ee Fee <u>Fee Description</u> ode (\$) | | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| , | 001 375 Utility filing fee 375 | \neg | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| | 002 165 Design filing fee | \dashv | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| | 203 260 Plant filing fee | | 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1004 750 2 | 004 375 Reissue filing fee | ╡ | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1005 160 20 | 005 80 Provisional filling fee | | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| SUBTOTAL (1) (\$) 375 | | | | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| | (4) | | 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 2. EXTRA CLAIM | | | 1502 | 470 | 2502 | 235 | Design issue fee | |
| | Extra Fee from Fee | | 1503 | 630 | 2503 | 315 | Plant issue fee | |
| Total Claims 31 | Claims below Paid | _ | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Independent | | ╡ | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| Claims 5 | -3 ··· = 2 X 42 = 84 | ╛ | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| Multiple Dependent Large Entity | X = 0 | | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| Fee Fee Code (\$) | Fee Fee Fee Description | | 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | · |
| 1202 18 | 2202 9 Claims in excess of 20 | | 1810 | 750 | 2810 | 375 | For each additional invention to be | \vdash |
| 1201 84 | 2201 42 Independent claims in excess of | } | | | | | examined (37 CFR § 1.129(b)) | |
| 1203 280 | 2203 140 Multiple dependent claim, if not p | aid | 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1204 84 | 2204 42 ** Reissue independent claims or original patent | er | 1802 | 900 | 1802 | 900 | Request for expedited examination | |
| 1205 18 | 2205 9 ** Reissue claims in excess of 20 over original patent | and | | | | | of a design application | |
| · | | ٦ | Other fee (specify) | | | | | |
| | SUBTOTAL (2) (\$) 183 | ا ل | *Reduc | ed by Ba | asic Filing | Fee Pa | aid SUBTOTAL (3) (\$) 0 | $\overline{}$ |
| **or number previ | ously paid, if greater; For Reissues, see above | | | | | | | |

| SUBMITTED BY Complete (if applicable) | | | | | | | | | |
|---------------------------------------|-------------------|----------------------------------|--------|-----------|------------------|--|--|--|--|
| Name (Print/Type) | Bryan K. Wheelock | Registration No. Attorney/Agent) | 31,441 | Telephone | 314-726-7500 | | | | |
| Signature | Bhou | ul. | | Date | February 9, 2004 | | | | |

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Included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231. If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.